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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. MBHB00-450-A)

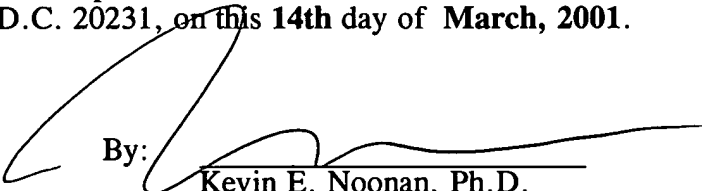
In the Application of:	)	
	)	
Polverino, et al.	)	Before the Examiner:
	)	
Serial No. 09/724,000	)	
	)	Group Art Unit: 1645
Filed: November 28, 2000	)	
	)	
For: Secreted Epithelial Colon Stromal-1	)	
Molecules and Uses Thereof	)	

TRANSMITTAL LETTER

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:  
In regard to the above-identified application:

1. We are transmitting herewith the attached
  - a. Response to Notice to File Missing Parts of Application
  - b. Executed copy of Oath or Declaration
  - c. Copy of the Notice to File Missing Parts of Application
  - d. Request for Corrected Filing Receipt
  - e. Copy of filing receipt with changes noted thereon
  - f. Return Receipt Postcard
2. With respect to additional fees:
  - a. An additional fee of \$130.00 is required.
3. A check in the amount of \$130.00 is enclosed.
4. Please charge any deficiency in the amount due, or credit any overpayment, to Deposit Account No. **13-2490**. A duplicate copy of this sheet is enclosed.
5. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as "First Class Mail" in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on this **14th** day of **March, 2001**.

By:   
Kevin E. Noonan, Ph.D.  
Reg No. 35,303



#4

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Case No. MBHB00-450-A)

In the Application of:	)	
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Polverino, et al.	)	Before the Examiner:
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Serial No.     09/724,000	)	
	)	Group Art Unit: 1645
Filed:           November 28, 2000	)	
	)	
For:     Secreted Epithelial Colon Stromal-1	)	
Molecules and Uses Thereof	)	

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION MAILED JANUARY 22, 2001**

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

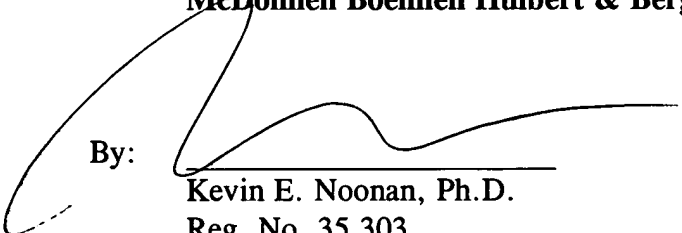
Responsive to the Notice to File Missing Parts mailed January 22, 2001, Applicants submit herewith an executed copy of their Declaration, pursuant to 37 C.F.R. §§1.63 and 1.68.

A check in the amount of \$130.00 accompanies this Response.

Respectfully submitted,  
**McDonnell Boehnen Hulbert & Berghoff**

Date: March 14, 2001

By:

  
\_\_\_\_\_  
Kevin E. Noonan, Ph.D.  
Reg. No. 35,303





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/724,000	11/28/2000	1645	2712	MBHB00-450-A	12	56	8

020306  
MCDONNELL BOEHNEN HULBERT & BERGHOFF  
300 SOUTH WACKER DRIVE  
SUITE 3200  
CHICAGO, IL 60006

## FILING RECEIPT



\*OC000000005692814\*

DOCKETED

JAN 24 2001

Date Mailed: 01/22/2001

DUE DATE: IDS Due 2/28/01  
BY: K. B. [Signature]

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Anthony J. Polverino, Thousand Oaks, CA ;  
Roland Luethy, Camarillo, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/599,087 06/21/2000

## Foreign Applications

If Required, Foreign Filing License Granted 01/19/2001

## Title

Secreted epithelial colon stromal-I molecules and uses thereof

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## Preliminary Class

435

Data entry by : JACKSON, MINNIE

Team : OIPE

Date: 01/22/2001





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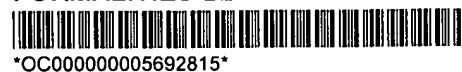
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/724,000	11/28/2000	Anthony J. Polverino	MBHB00-450-A

020306  
MCDONNELL BOEHNNEN HULBERT & BERGHOFF  
300 SOUTH WACKER DRIVE  
SUITE 3200  
CHICAGO, IL 60006

## FORMALITIES LETTER



\*OC000000005692815\*

Date Mailed: 01/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/21/2001 RHARIS1 00000070 09724000

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130.00 OP